### Electromagnetics, SEGE4, Lessons

**Christophe BOURLIER** Directeur de Recherche CNRS à l'IETR, Site de Nantes

> MASTER 2R SEGE International Systèmes Electroniques et Génie Electrique

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# 1 Reflection from a dielectric medium

#### 1.1 Maxwell's equations

James Clerk Maxwell published in 1864 the Maxwell equations given by

$$\overrightarrow{\operatorname{rot}}\overrightarrow{H} - \frac{\partial\overrightarrow{D}}{\partial t} = \overrightarrow{J}$$
(1.1)

$$\overrightarrow{\operatorname{rot}}\overrightarrow{E} + \frac{\partial \overrightarrow{B}}{\partial t} = \overrightarrow{0}$$
(1.2)

$$\operatorname{div} \overrightarrow{B} = 0 \tag{1.3}$$

$$\operatorname{div} \overrightarrow{D} = \rho \tag{1.4}$$

It is important to note that the four Maxwell equations depend both on the time t and the position vector  $\overrightarrow{R} = x\overrightarrow{x} + y\overrightarrow{y} + z\overrightarrow{z}$ .

Eq. (1.1) is named the Maxwell-Ampère equation. Eq. (1.2) is the Maxwell-Faraday law. Eqs. (1.3) and (1.4) are named the Maxwell-Gauss magnetic and Maxwell-Gauss electric equations. These equations are local and are derived from the electrostatic and magnetostatic laws.

For more clarity, the notations are reported in table 1.1. The couple  $(\overrightarrow{E}, \overrightarrow{H})$  are named the electromagnetic field.

Variable	Name	Unity
$\overrightarrow{E}$	Electric field	V/m
$\overrightarrow{H}$	Magnetic field	A/m
$\overrightarrow{D}$	Electric induction	$C/m^2$
$\overrightarrow{B}$	Magnetic induction	$Wb/m^2$
$\overrightarrow{J}$	Electric current density	$A/m^2$
ρ	Electric charge density	$C/m^3$

TABLE 1.1 – Variables involved in the Maxwell equations.

From the Maxwell equations, Eqs. (1.3) and (1.4) are scalar, whereas Eqs. (1.1) and (1.2) are vectorial, thus 8 scalar equations. In fact, these 8 equations are not independent.

**Remark 1.1** In Cartesian coordinates  $(\vec{x}, \vec{y}, \vec{z})$ , the operators  $\vec{rot}$  and div are expressed from the operator nable defined as

$$\overrightarrow{\nabla} = \frac{\partial}{\partial x}\overrightarrow{x} + \frac{\partial}{\partial y}\overrightarrow{y} + \frac{\partial}{\partial x}\overrightarrow{z}$$
(1.5)

and

$$\overrightarrow{rot}\overrightarrow{A} = \overrightarrow{\nabla} \wedge \overrightarrow{A} \quad div\overrightarrow{A} = \overrightarrow{\nabla} \cdot \overrightarrow{A} \tag{1.6}$$

To solve these equations, the constitutive relations, which take into account the characteristics of the medium, must be introduced. In particular, if the medium is assumed to be **linear**, **homogeneous** and **isotropic** then

$$\overrightarrow{D} = \epsilon \overrightarrow{E} \tag{1.7a}$$

$$\overrightarrow{B} = \mu \overrightarrow{H} \tag{1.7b}$$

$$\overrightarrow{J} = \sigma \overrightarrow{E} \tag{1.7c}$$

where  $\epsilon$ ,  $\mu$  and  $\sigma$  are the electric permittivity, the magnetic permeability and the electric conductivity. For a given medium, they are **constant**.

#### In what follows, all the considered media are assumed to be LHI (linear, homogeneous and isotropic).

For example, vacuum (air) is a LHI medium of permittivity  $\epsilon_0$  and permeability  $\mu_0$ . They satisfied  $\sqrt{\epsilon_0\mu_0} = 1/c$ , where  $c = 3 \times 10^8$  m/s is the speed of the light in vacuum. The **relative** permittivity  $\epsilon_r$  and permeability  $\mu_r$  are defined by

$$\epsilon = \epsilon_r \times \epsilon_0 \text{ and } \mu = \mu_r \times \mu_0$$
 (1.8)

where  $\epsilon_0 = 1/(36\pi \times 10^9)$  F/m and  $\mu_0 = 4\pi \times 10^{-7}$  H/m.

#### 1.2 Wave propagation in vacuum

In vacuum,  $\overrightarrow{J} = \overrightarrow{0}$  and  $\rho = 0$ .

Eq. (1.1) is derivative with respect to the time t. Including then the constitutive relations (1.7a) and (1.7b), we obtain

$$\frac{\partial}{\partial t} \overrightarrow{\operatorname{rot}} \overrightarrow{H} = \frac{1}{\mu_0} \overrightarrow{\operatorname{rot}} \left( \frac{\partial \overrightarrow{B}}{\partial t} \right) = \epsilon_0 \frac{\partial^2 \overrightarrow{E}}{\partial t^2}$$
(1.9)

From relation (1.2),  $\overrightarrow{\text{rot}} \overrightarrow{E} = -\frac{\partial \overrightarrow{B}}{\partial t}$ , leading to

$$\frac{1}{\mu_0} \overrightarrow{\text{rot}} \left( \frac{\partial \overrightarrow{B}}{\partial t} \right) = -\frac{1}{\mu_0} \overrightarrow{\text{rot}} (\overrightarrow{\text{rot}} \overrightarrow{E})$$
(1.10)

Using egality  $\overrightarrow{rot}(\overrightarrow{rot}\vec{E}) = -\overrightarrow{\nabla}^2\vec{E} + \overrightarrow{\operatorname{grad}}(\operatorname{div}\vec{E})$ , where  $\overrightarrow{\nabla}^2$  is the vectorial Laplacian and since  $\operatorname{div}\vec{E} = 0$  from Eq. (1.4), we have  $\overrightarrow{rot}(\overrightarrow{rot}\vec{E}) = -\overrightarrow{\nabla}^2\vec{E}$ . Thus, from Eqs. (1.9) and (1.10), the electric field  $\vec{E}$  satisfies

$$\vec{\nabla}^2 \vec{E} - \epsilon_0 \mu_0 \frac{\partial^2 \vec{E}}{\partial t^2} = \vec{0}$$
(1.11)

Using the same way, the magnetic field  $\overrightarrow{H}$  satisfies the same equation

$$\vec{\nabla}^2 \vec{H} - \epsilon_0 \mu_0 \frac{\partial^2 \vec{H}}{\partial t^2} = \vec{0}$$
(1.12)

Eqs. (1.11) and (1.12) are the **propagation equations** of the electric and magnetic fields, respectively. These equations are also named wave equations.

**Remark 1.2** In Cartesian coordinates, the scalar Laplacian is  $\triangle = \frac{\partial^2}{\partial x^2} + \frac{\partial^2}{\partial y^2} + \frac{\partial^2}{\partial z^2}$ , and the vectorial Laplacian  $\overrightarrow{\nabla}^2 \overrightarrow{A}$ , where  $\overrightarrow{A} = (A_x, A_y, A_z)$ , is defined by

$$\overrightarrow{\nabla}^2 \overrightarrow{A} = \triangle A_x \overrightarrow{x} + \triangle A_y \overrightarrow{y} + \triangle A_z \overrightarrow{z}$$
(1.13)

In general, the solution of the propagation equation (Eq. (1.11) or (1.12)) without charges is a solution of the type "Progressive Plane Wave (PPW)" propagating in the direction  $\vec{u} = \vec{R}/||\vec{R}||$  with a speed v. It is then written as

$$f(\vec{R},t) = \Psi_+\left(t + \frac{\vec{R} \cdot \vec{u}}{v}\right) + \Psi_-\left(t - \frac{\vec{R} \cdot \vec{u}}{v}\right).$$
(1.14)

The solution is then expressed from the sum of two functions  $\Psi_{\pm}$ . Physically, in Eq. (1.14), the first one corresponds to a **reflected** wave and the second one, corresponds to an **incident** wave (coming from a sensor for example). For a medium without boundary, the reflected wave does not exist.

#### **1.3** Harmonic regime

#### 1.3.1 Definition

A MPPW (Monochromatic Progressive Plane Wave or harmonic) is a spatial-time periodic real function

$$f(\vec{R},t) = A\cos\left[\omega\left(t - \frac{\vec{u}\cdot\vec{R}}{v}\right) - \phi\right] = A\cos\left(\omega t - \vec{k}\cdot\vec{R} - \phi\right)$$
(1.15)

where  $\overrightarrow{k} = \frac{\omega}{v} \overrightarrow{u}$  is the wave vector,  $\omega$  the pulsation in rad/s, and  $\phi$  a constant phase. In harmonic regime, f can be written as  $A \exp\left[\pm j\left(\omega t - \overrightarrow{k} \cdot \overrightarrow{R} - \phi\right)\right] = A \exp(\pm j\omega t) \exp(\mp \overrightarrow{k} \cdot \overrightarrow{R} \mp \phi)$ . The function f is then retrieved by taking the real part.

By analogy, an electromagnetic vectorial variable  $\overrightarrow{G}(\overrightarrow{R},t)$  takes the form in harmonic regime  $\overrightarrow{G}(\overrightarrow{R},t) = \overrightarrow{G}_0(\overrightarrow{R}) \exp(\pm j\omega t)$ . Thus, for the calculations, it is easier to consider the exponential function than the cosine function. The physical variable is then retrieved by taking the real part. In this manuscript, the convention  $\exp(-j\omega t)^1$  is chosen.

#### In harmonic regime, the operation $\frac{\partial}{\partial t}$ becomes a multiplication by $-j\omega$ .

<sup>1.</sup> The transition to the next convention is obtained by taking the complex conjugate of the variables.

Thus, the first two Maxwell equations (1.1)-(1.2) are

$$\overrightarrow{\operatorname{rot}}\overrightarrow{H} + j\omega\overrightarrow{D} = \overrightarrow{J} \Rightarrow \overrightarrow{\operatorname{rot}}\overrightarrow{H} = \overrightarrow{J} \underbrace{-j\omega\overrightarrow{D}}_{J_{d}}$$
(1.16)

$$\overrightarrow{\operatorname{rot}}\overrightarrow{E} - j\omega\overrightarrow{B} = \overrightarrow{0} \tag{1.17}$$

**Remark 1.3** In Eq. (1.16), for a wave propagating in LHI medium, it is easy to calculate the current ratio of conduction  $\vec{J} = \sigma \vec{E}$  (from Eq. (1.7c)) over the displacement  $\vec{J}_d = -j\omega \vec{D} = -j\omega\epsilon\vec{E}$  (from Eq. (1.7a)). Indeed,  $|J/J_d| = \sigma/(\omega\epsilon) = \sigma/(2\pi f\epsilon)$ , where f is the wave frequency in Hz.

- For example for the copper, which is a very good conductor,  $\sigma = 5.8 \times 10^7 \text{ S/m}$  and  $\epsilon = \epsilon_0$ , implying that  $|J/J_d| \approx 10^{12}/f$  where f is in MHz. Thus, for microwave frequencies (100 MHz  $\rightarrow$  300 GHz), the conduction current is much larger than the displacement current.
- For example for the teflon, which is a very good dielectric,  $\sigma = 5.8 \times 10^{-6} \text{ S/m}$  and  $\epsilon = 2\epsilon_0$ , implying that  $|J/J_d| \approx 10^{-3}/f$  where f is in MHz. Thus, for microwave frequencies, the conduction current is much smaller than the displacement current.

#### **1.3.2** Wave equation : Helmholtz equation

In harmonic regime, the propagation equation of the electromagnetic field  $\vec{E}(\vec{R},t) = e^{-j\omega t} \vec{E}_0(\vec{R})$  in a medium without charge and current is from Eq. (1.11)

$$(\overrightarrow{\nabla}^2 + \epsilon \mu_0 \omega^2) \overrightarrow{E}_0(\overrightarrow{R}) = \overrightarrow{0}$$
(1.18)

Knowing that  $\overrightarrow{J} = \sigma \overrightarrow{E}$  (non null current) and using the same way as in section 1.2, we show that

$$\overrightarrow{\nabla}^2 \overrightarrow{E} - \mu_0 \sigma \frac{\partial \overrightarrow{E}}{\partial t} - \epsilon \mu_0 \frac{\partial^2 \overrightarrow{E}}{\partial t^2} = \overrightarrow{0}$$
(1.19)

In harmonic regime, this equation becomes

$$(\overrightarrow{\nabla}^2 + [\epsilon\mu_0\omega^2 + j\mu_0\sigma\omega])\overrightarrow{E}_0(\overrightarrow{R}) = \overrightarrow{0}$$
(1.20)

Introducing  $k = \sqrt{\epsilon \mu_0 \omega^2 + j \mu_0 \sigma \omega}$ , we have

$$(\vec{\nabla}^2 + k^2)\vec{E}_0(\vec{R}) = \vec{0}$$
(1.21)

This equation is called the **Helmholtz equation**, in which the unknown is  $\overrightarrow{E}_0(\overrightarrow{R})$  depending only on the position vector  $\overrightarrow{R}$ .

Letting  $v = 1/\sqrt{\epsilon\mu_0}$  with  $\epsilon \in \mathbb{R}^{+*}$ , the wavenumber k can be written as

$$k = \frac{\omega}{v} \sqrt{1 + j\frac{\sigma}{\omega\epsilon}} \tag{1.22}$$

The general solution of (1.21) is then given by Eq. (1.14), leading to

$$E(\vec{R},t) = e^{-j\omega t} [\Psi_{+}(+\vec{k}\cdot\vec{R}) + \Psi_{-}(-\vec{k}\cdot\vec{R})]$$
(1.23)

where  $\overrightarrow{k} = k \overrightarrow{u} (||\overrightarrow{k}|| = k)$ .

#### **1.3.3** Propagation in a conductor medium

We assume a LHI medium of permeability  $\mu = \mu_0$ , permittivity  $\epsilon = \epsilon_0 \epsilon_r$  with  $\epsilon_r$  a real number, without charge  $\rho = 0$  but  $\overrightarrow{J} = \sigma \overrightarrow{E} \neq \overrightarrow{0}$ .

In harmonic regime, a solution of the Helmholtz equation, given by Eq. (1.23), is  $\vec{E}(\vec{R},t) = \vec{E}_0 e^{-j(\omega t - \vec{k} \cdot \vec{R})}$ , where  $\vec{E}_0$  is a constant vector, which gives the wave polarization and  $\vec{k}$  denotes wave vector.

The operator  $\overrightarrow{\nabla}$  is then equivalent to  $+j\overrightarrow{k}$ . Thus,  $\overrightarrow{\operatorname{rot} A} = j\overrightarrow{k} \wedge \overrightarrow{A}$  and  $\operatorname{div} \overrightarrow{A} = j\overrightarrow{k} \cdot \overrightarrow{A}$ . From Eqs. (1.4), (1.7a), and (1.3) we then have

$$j \overrightarrow{k} \cdot \overrightarrow{E} = 0 \Rightarrow \overrightarrow{k} \perp \overrightarrow{E}$$
 (1.24)

$$\vec{k} \cdot \vec{B} = 0 \Rightarrow \vec{k} \perp \vec{B}$$
 (1.25)

**Remark 1.4** Since  $\overrightarrow{E}(\overrightarrow{R},t) = \overrightarrow{E}_0 e^{-j(\omega t - \overrightarrow{k} \cdot \overrightarrow{R})}$ , in which  $\overrightarrow{k} \cdot \overrightarrow{R} = k_x x + k_y y + k_z z$ , we have

$$\begin{cases} \frac{\partial \overline{E}(\overline{R},t)}{\partial x} = jk_x \overline{E}(\overline{R},t) \\ \frac{\partial \overline{E}(\overline{R},t)}{\partial y} = jk_y \overline{E}(\overline{R},t) \\ \frac{\partial \overline{E}(\overline{R},t)}{\partial z} = jk_z \overline{E}(\overline{R},t) \end{cases}$$
(1.26)

Thus  $\overrightarrow{\nabla}[\overrightarrow{E}(\overrightarrow{R},t)] = j \overrightarrow{k}[\overrightarrow{E}(\overrightarrow{R},t)]$  and then  $\overrightarrow{\nabla} \to j \overrightarrow{k}$ .

These both equations show that the fields  $\overrightarrow{E}$  and  $\overrightarrow{B}$  are transverse to the propagation direction defined along the vector  $\overrightarrow{k}$ . Since  $\overrightarrow{E}(\overrightarrow{R},t) = \overrightarrow{E}_0 e^{-j(\omega t - \overrightarrow{k} \cdot \overrightarrow{R})}$  is solution of the Helmholtz equation, from Eq. (1.20), the wave number k verified the dispersion equation

$$-k^{2} + (\epsilon\mu_{0}\omega^{2} + j\mu_{0}\sigma\omega) = 0 \Rightarrow k = \pm\sqrt{\epsilon\mu_{0}\omega^{2} + j\mu_{0}\sigma\omega}$$
(1.27)

The physical solution is the solution which gives a **positive** imaginary part, corresponding to an exponential decay of the modulus of the electric field. Indeed, if we let  $\vec{k} = k\vec{u} = (a+jb)\vec{u}$ , we have  $\vec{E}(\vec{R},t) = \vec{E}_0 e^{-j(\omega t - \vec{k} \cdot \vec{R})} = \vec{E}_0 e^{-j(\omega t - a\vec{u} \cdot \vec{R})} \times e^{-b\vec{u} \cdot \vec{R}}$ . Since the modulus of the field, given by  $|\vec{E}(\vec{R},t)| = \vec{E}_0 e^{-b\vec{k} \cdot \vec{R}}$ , has a finite value,  $b \ge 0$  with  $\vec{k} \cdot \vec{R} \ge 0$ . The physical solution is then

$$k = +\sqrt{\epsilon\mu_0\omega^2 + j\mu_0\sigma\omega} = \omega\sqrt{\epsilon\mu_0}\sqrt{1 + j\frac{\sigma}{\omega\epsilon}} = k_0 \times n \tag{1.28}$$

where  $k_0 = \omega \sqrt{\epsilon_0 \mu_0}$  (wave number in the vacuum) and

$$n = \sqrt{\epsilon_r} \sqrt{1 + j\frac{\sigma}{\omega\epsilon}} \tag{1.29}$$

The number *n* denotes the **refraction index** of the medium which is a complex number. Indeed, by definition n = c/v, where *c* is the wave speed in vacuum ( $\epsilon_r = 1$  and  $\sigma = 0$ ). Thus,  $n = \frac{\omega}{k_0} \frac{k}{\omega} = \frac{k}{k_0}$ . We can notice that the refraction index depends on the frequency. The medium is then called **dispersive**. By analogy, a complex relative permittivity can be defined as

$$\epsilon_{r1} = n^2 = \epsilon_r + j \frac{\sigma}{\omega \epsilon_0} = \epsilon_r + j \frac{18\sigma}{f} \text{ with } \begin{cases} \sigma \text{ in S/m} \\ f \text{ in GHz} \end{cases}$$
(1.30)

From Eqs. (1.1) and (1.7c), we have

$$j\overrightarrow{k}\wedge\overrightarrow{H} = -j\omega\epsilon\overrightarrow{E} + \sigma\overrightarrow{E} \Rightarrow \overrightarrow{H}\wedge\overrightarrow{k} = (\omega\epsilon + j\sigma)\overrightarrow{E}$$
(1.31)

which shows that  $(\vec{E}, \vec{H}, \vec{k})$  are mutually transverse. In addition, from Eq. (1.31), we have

$$\begin{aligned} \left\| \overrightarrow{H} \right\| \left\| \overrightarrow{k} \right\| &= (\omega\epsilon + j\sigma) \left\| \overrightarrow{E} \right\| \Rightarrow Z &= \frac{E}{H} = \frac{\left\| \overrightarrow{k} \right\|}{\omega\epsilon + j\sigma} \\ &= \frac{k_0 n}{\omega\epsilon + j\sigma} = \frac{\omega\sqrt{\epsilon_0\mu_0}n}{\omega\epsilon \left(1 + \frac{j\sigma}{\epsilon\omega}\right)} = \frac{\epsilon_r\sqrt{\epsilon_0\mu_0}n}{n^2\epsilon} \\ &= \sqrt{\frac{\mu_0}{\epsilon_0}} \frac{1}{n} = \frac{Z_0}{n} \end{aligned}$$
(1.32)

where Z is the wave **impedance** in ohm. The modulus of Z gives the ratio modulus of E/H and the phase of Z gives the phase difference between E and H. Unlike the vacuum, Z is a complex number.

For example, for a plane wave propagating with respect to the direction  $\overrightarrow{z}$  and polarized with respect to  $\overrightarrow{x}$ ,  $\overrightarrow{E}(z,t) = E_0 \overrightarrow{x} e^{-j(\omega t - kz)}$  where  $\overrightarrow{k} = k \overrightarrow{z}$ . The magnetic field is then  $\overrightarrow{H}(z,t) = E_0 \overrightarrow{y} e^{-j(\omega t - kz)}/Z$  or  $\overrightarrow{H}(z,t) = (E_0/|Z|) \overrightarrow{y} e^{-j(\omega t - kz - \phi)}$  where  $\phi = \arg(Z)$ .

#### 1.3.4 Skin depth

What is the physical meaning that the wave number k is a **complex** number?

We showed that a plane wave can be written as

$$\vec{E}(\vec{R},t) = \vec{E}_0 e^{-j(\omega t - k_0 n \vec{u} \cdot \vec{R})} = \vec{E}_0 e^{-j(\omega t - k_0 n_r \vec{u} \cdot \vec{R})} \times e^{-k_0 n_i \vec{u} \cdot \vec{R}} \text{ with } n = n_r + jn_i.$$
(1.33)

where  $k = k_0 n$ . The real part  $n_r$  and imaginary part  $n_i$  of the refraction index n are given by

$$\begin{cases}
 n_r = \sqrt{\epsilon_r} \, \Re e\left(\sqrt{1+j\frac{\sigma}{\omega\epsilon}}\right) \\
 n_i = \sqrt{\epsilon_r} \, \Im m\left(\sqrt{1+j\frac{\sigma}{\omega\epsilon}}\right)
\end{cases}$$
(1.34)

 $n_r$  and  $n_i$  are calculated by solving the equation  $(n_r + jn_i)^2 = \epsilon_r(1 + j\beta)$  with  $\beta = \sigma/(\omega\epsilon)$ . This equation leads to the following system  $\{n_r^2 - n_i^2 = \epsilon_r \text{ and } 2n_rn_i = \epsilon_r\beta\}$ . Solving a second degree equation, in which the unknown is  $n_r^2$ , we show that  $2n_r^2 = \epsilon_r \pm \sqrt{\epsilon_r^2 + \beta^2}$ . The physical solution corresponds to the sign + because  $n_r \in \mathbb{R}^+$ . Thus,  $2n_r^2 = \epsilon_r + \sqrt{\epsilon_r^2 + \beta^2}$  and  $\sqrt{2}n_r = +\sqrt{\epsilon_r + \sqrt{\epsilon_r^2 + \beta^2}}$ . The use of the same way for  $n_i \in \mathbb{R}^+$  leads to  $\sqrt{2}n_i = \sqrt{-\epsilon_r + \sqrt{\epsilon_r^2 + \beta^2}}$ . In conclusion

$$\begin{cases}
n_r = \frac{\sqrt{+\epsilon_r + \sqrt{\epsilon_r^2 + \beta^2}}}{\sqrt{2}} \in \mathbb{R}^+ \\
n_i = \frac{\sqrt{-\epsilon_r + \sqrt{\epsilon_r^2 + \beta^2}}}{\sqrt{2}} \in \mathbb{R}^+
\end{cases}$$
(1.35)

For example for the copper, which is a very good conductor,  $\sigma = 5.8 \times 10^7$  S/m and  $\epsilon = \epsilon_0$ . Thus,  $\beta \approx 10^{12}/f$  where f is in MHz. For microwave frequencies (100 MHz  $\rightarrow$  300 GHz),  $\beta >> 1$ , and  $n_r \approx n_i \approx \sqrt{\beta/2} = 0.7 \times 10^6/\sqrt{f}$ . Moreover, the wave impedance is  $Z \approx Z_0/\sqrt{+j\beta} = e^{-j\pi/4}Z_0/\sqrt{\beta}$ , implying that  $H = \|\overrightarrow{H}\|$  and  $E = \|\overrightarrow{E}\|$  have a phase difference of  $-\pi/4$  and that |E| << |H| since Z << 1 ( $\beta >> 1$ ).

For a plane wave polarized with respect to  $\overrightarrow{x}$  and propagating with respect to  $\overrightarrow{z}$ , the modulus of Eq. (1.33) is  $E_0 \overrightarrow{x} \exp(-k_0 n_i z)$ . The skin depth,  $\delta$ , corresponds to the distance, for which the normalized field modulus,  $\overrightarrow{x} \exp(-k_0 n_i z)$ , is damped of  $1/e \approx 0.37$ , implying that  $k_0 n_i \delta = 1$ . In conclusion

$$\delta = \frac{1}{k_0 n_i} = \frac{\lambda_0}{2\pi n_i} \tag{1.36}$$

where  $\lambda_0$  is the wavelength in vacuum.

For a good conductor as the copper,  $n_i \approx \sqrt{\beta/2} >> 1$  thus  $\delta/\lambda_0 << 1$  for microwave frequencies.

For a salt sea,  $n_i$  is of the order of  $10^{-9}$  for a wavelength of  $\lambda_0 = 0.5 \ \mu m$  (yellow color). Thus,  $\delta \approx 1.6 \times 10^8 \lambda_0 \approx 80$  meters. This explains why for a depth greater than 100 m, the sun rays does not penetrate more.

# 1.4 Plane wave reflection and transmission from a plane surface

This section is devoted to the calculation of the reflected and transmitted waves by a plane surface (of infinite area, which means that no diffraction phenomenon) illuminated by a plane wave.

As shown in figure 1.1, the upper medium 1 is defined for  $z \ge 0$  of permittivity  $\epsilon_1$  and permeability  $\mu_1 = \mu_0$ , and the lower medium 2, is defined for z < 0 of permittivity  $\epsilon_2$  and permeability  $\mu_2 = \mu_0$ .

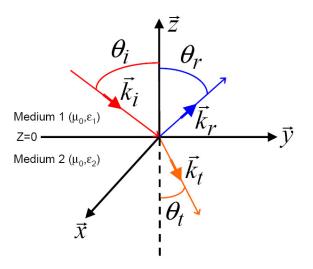


FIGURE 1.1 – The Snell-Descartes laws.

In general, for an infinite medium (no interface), an incident plane wave is expressed as  $\vec{E}_i = \vec{E}_{0i} e^{-j(\omega_i t - \vec{k}_i \cdot \vec{R})}$ , where the vector  $\vec{E}_{0i}$  is related to the polarization and the amplitude of the wave. In addition,  $\omega_i$  is the pulsation,  $\vec{k}_i$  the wave vector, which gives the direction of the electric field, and  $\vec{R}$  the vector position. All the variables  $(\vec{E}_{0i}, \omega_i, \vec{k}_i)$  are known.

When we consider the problem shown in figure 1.1, the incident wave is reflected into the medium 1 and transmitted into the medium 2. For each medium, the Maxwell equations can be applied leading to that the reflected and transmitted fields can be written in a similar manner as the incident field. They are given by  $\vec{E}_r = \vec{E}_{0r} e^{-j(\omega_r t - \vec{k}_r \cdot \vec{R})}$  and  $\vec{E}_t = \vec{E}_{0t} e^{-j(\omega_t t - \vec{k}_t \cdot \vec{R})}$ , respectively.

The problem to solve is to determine  $(\overrightarrow{E}_{0r}, \omega_r, \overrightarrow{k}_r, \overrightarrow{E}_{0t}, \omega_t, \overrightarrow{k}_t)$ . This problem is solved by applying the boundary conditions on the interface defined at z = 0.

#### **Boundary conditions** 1.4.1

Let S be a surface separating a medium 1 from a medium 2 and  $\vec{n}$  the normal to the surface arbitrary oriented from 1 to 2. The boundary conditions at the interface (z = 0) are then expressed as

$$\overrightarrow{n} \wedge (\overrightarrow{E}_1 - \overrightarrow{E}_2) = \overrightarrow{0}$$
 Tangential component (1.37a)

$$\vec{n} \wedge (\vec{E}_1 - \vec{E}_2) = \vec{0} \quad \text{Tangential component}$$
(1.37a)  
$$\vec{n} \wedge (\vec{H}_1 - \vec{H}_2) = \vec{J}_S \quad \text{Tangential component}$$
(1.37b)

$$\vec{\pi} \cdot (\mu_1 \vec{H}_1 - \mu_2 \vec{H}_2) = 0$$
 Normal component (1.37c)

$$\vec{n} \cdot (\epsilon_1 \vec{E}_1 - \epsilon_2 \vec{E}_2) = \rho_S$$
 Normal component (1.37d)

 $\overrightarrow{J}_{S}$  is the current electric surface density and  $\rho_{S}$  is the charge electric surface density. We have then :

- Continuity of the tangential component of the electric field  $\overrightarrow{E}$  and of the normal component of the magnetic field  $\overline{H}$ .
- **Discontinuity** of the **normal** component of the electric field  $\overrightarrow{E}$  (due to the presence of  $\rho_S$ ) and of the **tangential** component of the magnetic field  $\vec{H}$  (due to the presence of  $\vec{J}_S$ ).

If the media 2 is a **perfect conductor**<sup>2</sup>, then Eq. (1.37) becomes

 $\overrightarrow{n} \wedge \overrightarrow{E}_1 = \overrightarrow{0}$  Tangential component (1.38a)

$$\overrightarrow{n} \wedge \overrightarrow{H}_1 = \overrightarrow{J}_S$$
 Tangential component (1.38b)

 $\overrightarrow{n} \cdot \overrightarrow{H}_1 = 0$  Normal component (1.38c)

$$\overrightarrow{n} \cdot \overrightarrow{E}_1 = \rho_S / \epsilon_1$$
 Normal component (1.38d)

If the media 1 and 2 are **perfect dielectric**, then  $\overrightarrow{J}_S = \overrightarrow{0}$  and  $\rho_S = 0$ , leading from Eq.

<sup>2.</sup> A perfect conductor has a conductivity  $\sigma \to j\infty$ 

(1.37) to

$$\overrightarrow{n} \wedge (\overrightarrow{E}_1 - \overrightarrow{E}_2) = \overrightarrow{0} \quad \text{Tangential component}$$
(1.39a)

$$\overrightarrow{n} \wedge (\overrightarrow{H}_1 - \overrightarrow{H}_2) = \overrightarrow{0}$$
 Tangential component (1.39b)

$$\overrightarrow{n} \cdot (\mu_1 \overrightarrow{H}_1 - \mu_2 \overrightarrow{H}_2) = 0$$
 Normal component (1.39c)

$$\overrightarrow{n} \cdot (\epsilon_1 \overrightarrow{E}_1 - \epsilon_2 \overrightarrow{E}_2) = 0$$
 Normal component (1.39d)

#### 1.4.2 Snell-Descartes laws

By applying the boundary conditions (continuity of the tangential component of the electric field), a relation between the amplitudes of the three waves (incident, reflected and transmitted) exist if for z = 0, the phase term of each exponential is equal. From

$$\begin{cases} \overrightarrow{E}_{i} = \overrightarrow{E}_{0i}e^{-j(\omega_{i}t - \overrightarrow{k}_{i} \cdot \overrightarrow{R})} \\ \overrightarrow{E}_{r} = \overrightarrow{E}_{0r}e^{-j(\omega_{r}t - \overrightarrow{k}_{r} \cdot \overrightarrow{R})} \\ \overrightarrow{E}_{t} = \overrightarrow{E}_{0t}e^{-j(\omega_{t}t - \overrightarrow{k}_{t} \cdot \overrightarrow{R})} \end{cases}$$
(1.40)

this leads to

$$\omega_i t - \overrightarrow{k}_i \cdot \overrightarrow{R} = \omega_r t - \overrightarrow{k}_r \cdot \overrightarrow{R} = \omega_t t - \overrightarrow{k}_t \cdot \overrightarrow{R} \quad \forall \ (\overrightarrow{R} \in S, t)$$
(1.41)

Thus

$$\omega_{i}t - k_{ix}x + k_{iy}y = \omega_{r}t - k_{rx}x + k_{ry}y = \omega_{t}t - k_{tx}x + k_{ty}y$$
(1.42)

with  $\overrightarrow{R} = (x, y, z)$  and  $\overrightarrow{k}_{i,r,t} = (k_{ix,rx,tx}, k_{iy,ry,ty}, k_{iz,rz,tz})$  since for  $\overrightarrow{R} \in S$ , z = 0. Noticing that the vector  $\overrightarrow{k}_i$  lies in the (yOz)  $(k_{ix} = 0)$  plane,  $\forall (x, y, t)$ , the above equation becomes

$$\begin{cases}
\omega_i = \omega_r = \omega_t = \omega \\
k_{ix} = 0 = k_{rx} = k_{tx} \\
k_{iy} = k_{ry} = k_{ty}
\end{cases}$$
(1.43)

Then

- 1. The first equation shows that the pulsations are equal.
- 2. The second equation shows that the incident, reflection and transmission planes, defined by the vectors  $(\overrightarrow{z}, \overrightarrow{k}_i)$ ,  $(\overrightarrow{z}, \overrightarrow{k}_r)$  and  $(\overrightarrow{z}, \overrightarrow{k}_t)$ , respectively, are the **same**.
- 3. From figure 1.1, the last equation shows that

$$k_i \sin \theta_i = k_r \sin \theta_r = k_t \sin \theta_t \tag{1.44}$$

Moreover,  $k_i = k_r$  because the propagation medium is the same and  $k_{i,t} = k_0 n_{1,2}$ . Thus

$$\begin{cases} \theta_r = +\theta_i \\ n_1 \sin \theta_i = n_2 \sin \theta_t \end{cases}$$
(1.45)

They are the famous Snell-Descartes laws. The third one is the more famous but do not forget the others.

#### **1.4.3** Fresnel coefficients

#### 1.4.3.1 Case of a PC surface at a normal incidence for the TE polarization

For a perfectly conducting (PC) surface there is no transmitted field. In addition, we assume that the incidence angle is  $\theta_i = 0$ .

For the TE polarization, the incident electric field  $\overrightarrow{E}_i$  is transverse, i.e., orthogonal to the incident plane or collinear to the vector  $\overrightarrow{x}$ .

By applying that  $(\overrightarrow{E_i}, \overrightarrow{H_i}, \overrightarrow{k_i})$  (TEM structure of a plane wave) is an orthogonal direct basis, the direction of  $\overrightarrow{H_i}$  is obtained (rule of the right hand).

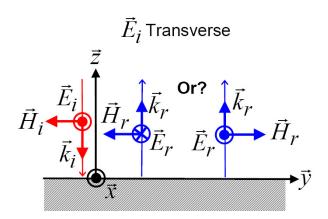


FIGURE 1.2 – Reflected electromagnetic fields for a PC surface, the TE case and  $\theta_i = 0$ .

For z = 0, the boundary conditions (Eq. (1.38)) state that the tangential components of the total electric field vanishes on the interface S. Thus, since by construction, the vectors  $\overrightarrow{E_i}$  and  $\overrightarrow{E_r}$  are tangential to the surface, we have  $\overrightarrow{E_i} + \overrightarrow{E_r} = \overrightarrow{0} \Rightarrow \overrightarrow{E_r} = -\overrightarrow{E_i}$ . Thus, the direction of  $\overrightarrow{E_r}$   $(-\overrightarrow{x})$  is opposite to that of  $\overrightarrow{E_i}$   $(+\overrightarrow{x})$ . In addition, since  $(\overrightarrow{E_r}, \overrightarrow{H_r}, \overrightarrow{k_r})$  (TEM structure of a plane wave) is an orthogonal direct basis, the vectors  $\overrightarrow{H_i}$  and  $\overrightarrow{H_r}$  are in the same direction.

Thus, the reflection and transmission coefficients are

$$\mathcal{R}_{H} = \frac{E_{0r}}{E_{0i}} = -1 \quad \mathcal{T}_{H} = \frac{E_{0t}}{E_{0i}} = 0 \tag{1.46}$$

For the TE polarization, the subscript H is used as horizontal.

The total electric and magnetic fields in the medium 1 are then

$$\begin{cases} \overrightarrow{E}_t = \overrightarrow{E}_i + \overrightarrow{E}_r = \overrightarrow{x} E_{0i} e^{-j\omega t} \left( e^{-jk_1 z} - e^{jk_1 z} \right) = -2j \overrightarrow{x} E_{0i} e^{-j\omega t} \sin\left(k_1 z\right) \\ \overrightarrow{H}_t = \overrightarrow{H}_i + \overrightarrow{H}_r = -\overrightarrow{y} H_{0i} e^{-j\omega t} \left( e^{-jk_1 z} + e^{jk_1 z} \right) = -2 \overrightarrow{y} H_{0i} e^{-j\omega t} \cos\left(k_1 z\right) \end{cases}$$
(1.47)

In addition,  $H_{0i} = E_{0i}/Z_1$ , where  $Z_1$  is the wave impedance of the medium 1. We can also note that  $k_1 = k_0 n_1$ , where  $n_1$  is the refraction index of the medium 1.

#### 1.4.3.2 Case of a dielectric surface at a normal incidence for the TE polarization

Now, we consider that the medium 2 is a perfect dielectric. Thus, a transmitted field can be propagated in the medium 2.

From figure 1.2 (by convention, we use the picture on the right) and applying the boundary conditions at z = 0 (Eqs. (1.39) on the tangential components), we have

$$\begin{cases} E_{0i} + E_{0r} = E_{0t} \\ -H_{0i} + H_{0r} = -H_{0t} \end{cases}$$
(1.48)

In addition,  $H_{0i} = E_{0i}/Z_1 = n_1 E_{0i}/Z_0$ ,  $H_{0r} = E_{0r}/Z_1 = n_1 E_{0r}/Z_0$  and  $H_{0t} = E_{0t}/Z_2 = n_2 E_{0t}/Z_0$ . Thus

$$\begin{cases}
E_{0r} + E_{0i} = E_{0t} \\
E_{0i} - E_{0r} = \frac{n_2}{n_1} E_{0t}
\end{cases}$$
(1.49)

In conclusion

$$\begin{cases} \mathcal{R}_{H} = \frac{E_{0r}}{E_{0i}} = \frac{n_{1} - n_{2}}{n_{1} + n_{2}} \\ \mathcal{T}_{H} = \frac{E_{0t}}{E_{0i}} = \frac{2n_{1}}{n_{1} + n_{2}} \end{cases}$$
(1.50)

For a PC surface,  $|n_2| \to \infty$ , then  $\mathcal{R}_H = -1$  and Eq. (1.46) is retrieved.

#### 1.4.3.3 Case of a dielectric surface for the TE polarization

In this subsection, the general case of a perfect dielectric surface is considered for the TE polarization.

By applying that  $(\overrightarrow{E_i}, \overrightarrow{H_i}, \overrightarrow{k_i})$  is an orthogonal direct basis, the direction of  $\overrightarrow{H_i}$  is obtained (rule of the right hand). As shown in figure 1.3, the same way is used for  $(\overrightarrow{E_r}, \overrightarrow{H_r}, \overrightarrow{k_r})$  and  $(\overrightarrow{E_t}, \overrightarrow{H_t}, \overrightarrow{k_t})$ .

From Eqs. (1.39), the tangential components of the electric and magnetic fields are continuous on the interface S defined at z = 0. From figure 1.3, this leads for  $\forall (x, y)$  to

$$\begin{cases} E_{0i} + E_{0r} = E_{0t} \\ -H_{0i}\cos\theta_i + H_{0r}\cos\theta_r = -H_{0t}\cos\theta_t \end{cases}$$
(1.51)

Moreover, from Eq. (1.32),  $H_{0i} = n_1 E_{0i}/Z_0$ ,  $H_{0r} = n_1 E_{0r}/Z_0$  and  $H_{0t} = n_2 E_{0t}/Z_0$ , leading with  $\theta_i = \theta_r$  to

$$\begin{cases} E_{0i} + E_{0r} = E_{0t} \\ E_{0i} - E_{0r} = \frac{n_2}{n_1} \frac{\cos \theta_t}{\cos \theta_i} E_{0t} \end{cases}$$
(1.52)

Letting  $\mathcal{R}_H = E_{0r}/E_{0i}$  (reflection coefficient) and  $\mathcal{T}_H = E_{0t}/E_{0i}$  (transmission coefficient),

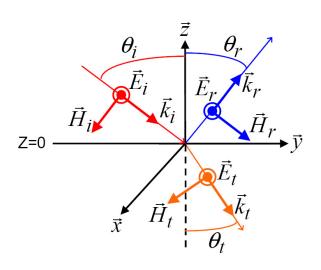


FIGURE 1.3 – Fresnel coefficients for the TE polarisation and a perfect dielectric medium.

we obtain

$$\begin{cases}
\mathcal{R}_{H} = \frac{n_{1}\cos\theta_{i} - n_{2}\cos\theta_{t}}{n_{1}\cos\theta_{i} + n_{2}\cos\theta_{t}} = \frac{n_{1}\cos\theta_{i} - \sqrt{n_{2}^{2} - n_{1}^{2}\sin^{2}\theta_{i}}}{n_{1}\cos\theta_{i} + \sqrt{n_{2}^{2} - n_{1}^{2}\sin^{2}\theta_{i}}}\\
\mathcal{T}_{H} = 1 + \mathcal{R}_{H} = \frac{2n_{1}\cos\theta_{i}}{n_{1}\cos\theta_{i} + n_{2}\cos\theta_{t}}
\end{cases}$$
(1.53)

where the third Snell-Descartes law  $n_1 \sin \theta_i = n_2 \sin \theta_t$  is used. For  $\theta_i = 0$ , Eq. (1.50) is retrieved.

#### 1.4.3.4 Case of a dielectric surface for the TM polarization

In this subsection, the general case of a perfect dielectric surface is considered for the TM polarization (figure 1.4).

From Eqs. (1.39), the tangential components of the electric and magnetic fields are continuous on the interface S defined at z = 0. From figure 1.3, this leads for  $\forall (x, y)$  to

$$\begin{cases} H_{0i} + H_{0r} = H_{0t} \\ E_{0i} \cos \theta_i - E_{0r} \cos \theta_r = E_{0t} \cos \theta_t \end{cases}$$
(1.54)

Thus

$$\begin{cases}
E_{0i} + E_{0r} = \frac{\sin \theta_i}{\sin \theta_t} E_{0t} \\
E_{0i} - E_{0r} = \frac{\cos \theta_t}{\cos \theta_i} E_{0t}
\end{cases}$$
(1.55)

Letting  $\mathcal{R}_V = E_{0r}/E_{0i}$  (reflection coefficient) and  $\mathcal{T}_V = E_{0t}/E_{0i}$  (transmission coefficient),

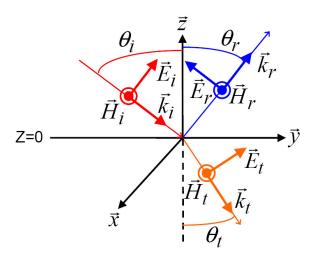


FIGURE 1.4 – Fresnel coefficients for the TM polarization and a perfect-dielectric medium.

we obtain

$$\begin{cases} \mathcal{R}_{V} = \frac{n_{2}\cos\theta_{i} - n_{1}\cos\theta_{t}}{n_{2}\cos\theta_{i} + n_{1}\cos\theta_{t}} = \frac{n_{2}^{2}\cos\theta_{i} - n_{1}\sqrt{n_{2}^{2} - n_{1}^{2}\sin^{2}\theta_{i}}}{n_{2}^{2}\cos\theta_{i} + n_{1}\sqrt{n_{2}^{2} - n_{1}^{2}\sin^{2}\theta_{i}}} \\ \mathcal{T}_{V} = \frac{n_{1}}{n_{2}}(1 + \mathcal{R}_{V}) = \frac{2n_{1}\cos\theta_{i}}{n_{2}\cos\theta_{i} + n_{1}\cos\theta_{t}} \end{cases}$$
(1.56)

where the third Snell-Descartes law  $n_1 \sin \theta_i = n_2 \sin \theta_t$  is used.

For the TM polarization, the subscript V is used as vertical.

#### 1.4.3.5 Discussion on the Fresnel formula

For  $\theta_i$  close to zero,  $\sin \theta_i \approx \theta_i$  and  $\sin \theta_t \approx n_1 \theta_i / n_2 \approx \theta_t$ . Thus, from Eqs. (1.53) and (1.56), the Fresnel coefficients can be simplified as

$$\begin{cases} \mathcal{R}_{H} = \frac{\theta_{t} - \theta_{i}}{\theta_{t} + \theta_{i}} \approx \frac{n_{1} - n_{2}}{n_{1} + n_{2}} \\ \mathcal{R}_{V} \approx -\mathcal{R}_{H} \end{cases}$$
(1.57)

In the air- $(n_1 = 1)$ -glass  $(n_2 = 1.5)$ ,  $\mathcal{R}_H = -0.2$  et  $\mathcal{R}_V = 0.2$ . This means that for the TE polarization, the reflected electric field is in opposite sense because  $\mathcal{R}_H < 0$ .

For grazing incidences,  $\theta_i = \pi/2$ , this leads from Eqs. (1.53) and (1.56) to

$$\mathcal{R}_H \approx \mathcal{R}_V \approx -1 \tag{1.58}$$

Figures 1.5 and 1.6 plot the Fresnel coefficients in reflexion and transmission with respect to the polarizations TM ( $\mathcal{R}_V, \mathcal{T}_V$ ) and TE ( $\mathcal{R}_H, \mathcal{T}_H$ ) and for an air-glass interface.

For the TM polarization, we observe that  $\mathcal{R}_V$  reaches zero. From Eq. (1.53), this angle satisfied  $\theta_{iB} + \theta_{tB} = \pi/2$  (numerator equal zero and  $n_1 \sin \theta_i = n_2 \sin \theta_t$ ), implying that  $\theta_{tB} =$ 

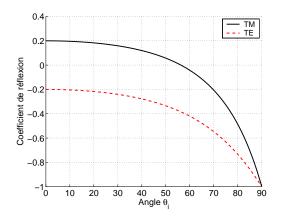


FIGURE 1.5 – Reflexion coefficients for TE and TM polarizations,  $n_1 = 1$  and  $n_2 = 1.5$ .

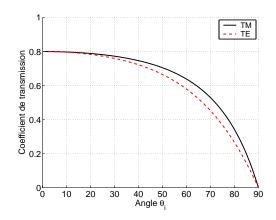


FIGURE 1.6 – Transmission coefficients for TE and TM polarizations,  $n_1 = 1$  and  $n_2 = 1.5$ 

 $\pi/2 - \theta_{iB}$ . Moreover,  $n_1 \sin \theta_{iB} = n_2 \sin(\pi/2 - \theta_{iB}) = n_2 \cos \theta_{iB}$ . Thus

$$\tan \theta_{iB} = n_2/n_1 \tag{1.59}$$

 $\theta_{iB}$  is called the **Brewster angle**. For an air-glass interface,  $\theta_{iB} = 56.3$  degrees. For this particular value,  $\mathcal{T}_V(\theta_{iB}) \neq 0$ ,  $\mathcal{T}_H(\theta_{iB}) \neq 0$  and  $\mathcal{R}_H(\theta_{iB}) \neq 0$ , whereas  $\mathcal{R}_V(\theta_{iB}) = 0$ . This property is then used for optics sensors to generate particular polarization states.

If the numbers  $n_1$  or/and  $n_2$  are complex, the Fresnel coefficients are also complex.

If  $n_1 > n_2$ , a limit incidence angle,  $\theta_{iL}$ , can be calculated for which the transmission angle equals  $\theta_t = \pi/2$ . This implies that  $\sin \theta_{iL} = n_2/n_1 \leq 1$ . For a glass-air interface, it is equal to 42 degrees. As shown in figures 1.7-1.10, above this angle, the Fresnel coefficients give complex values.

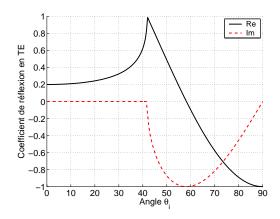


FIGURE 1.7 – Real and imaginary parts of the **reflection** coefficient for the TE polarization,  $n_1 = 1.5$  and  $n_2 = 1$ .

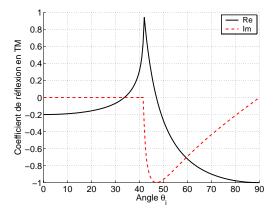


FIGURE 1.8 – Real and imaginary parts of the **reflection** coefficient for the TM polarization,  $n_1 = 1.5$  and  $n_2 = 1$ .

#### 1.5. POLARIZATION

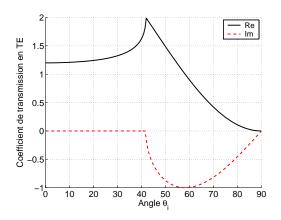


FIGURE 1.9 – Real and imaginary parts of the **transmission** coefficient for the TE polarization,  $n_1 = 1.5$  and  $n_2 = 1$ .

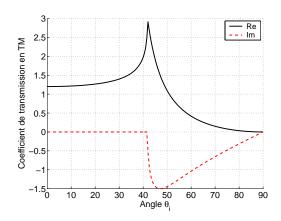


FIGURE 1.10 – Real and imaginary parts of the **transmission** coefficient for the TM polarization,  $n_1 = 1.5$  and  $n_2 = 1$ .

#### 1.5 Polarization

#### 1.5.1 Introduction

The polarization of a wave is conventionally defined by the time variation of the tip of the electric field  $\vec{E}$  at a fixed point in space. For example :

- If the tip moves along a straight line, the wave is then linearly polarized.
- If the tip moves along a circle, the wave is then circularly polarized.
- If the tip moves along an ellipse, the wave is then elliptically polarized.

Considering the following wave solution

$$\vec{E} = \operatorname{Re}\left[\vec{x}e^{-j(\omega t - k_0 z)} + \vec{y}E_{0y}e^{-j(\omega t - k_0 z - \delta)}\right]$$
  
$$= \vec{x}\cos(k_0 z - \omega t) + \vec{y}E_{0y}\cos(k_0 z - \omega t + \delta)$$
  
$$= \vec{x}E_x + \vec{y}E_y \qquad (1.60)$$

Note that  $E_z = 0$  because the wave is assumed to be TEM. From the temporal point of view (z = 0), we have

$$\vec{E} = \vec{x} \underbrace{\cos(\omega t)}_{E_x} + \vec{y} \underbrace{E_{0y}\cos(\omega t - \delta)}_{E_y}$$
(1.61)

We now study the polarization of the following special cases :

1.  $\delta = 2n\pi$ , where *n* is an integer, we have

$$\vec{E} = \vec{x}\cos(\omega t) + \vec{y}E_{0y}\cos(\omega t) \Rightarrow E_y = E_{0y}E_x$$
(1.62)

The tip of the electric field vector moves along a line as shown in figure 1.11(a). The wave is **linearly** polarized.

2.  $\delta = (2n+1)\pi$ , we have

$$\overrightarrow{E} = \overrightarrow{x}\cos(\omega t) - \overrightarrow{y}E_{0y}\cos(\omega t) \Rightarrow E_y = -E_{0y}E_x$$
(1.63)

The tip of the electric field vector moves along a line as shown in figure 1.11(b). The wave is **linearly** polarized.

3.  $\delta = \pi/2$  and  $E_{0y} = 1$ , we have

$$\overrightarrow{E} = \overrightarrow{x}\cos(\omega t) + \overrightarrow{y}\sin(\omega t) \Rightarrow E_x^2 + E_y^2 = 1$$
 (1.64)

In addition, as t increases,  $E_x$  decreases whereas  $E_y$  increases. As shown in figure 1.11(c), the wave is **right-hand circularly** polarized.

4.  $\delta = -\pi/2$  and  $E_{0y} = 1$ , we have

$$\overrightarrow{E} = \overrightarrow{x}\cos(\omega t) - \overrightarrow{y}\sin(\omega t) \Rightarrow E_x^2 + E_y^2 = 1$$
(1.65)

In addition, as t increases,  $E_x$  decreases whereas  $E_y$  decreases. As shown in figure 1.11(d), the wave is **left-hand circularly** polarized.

5.  $\delta = \pm \pi/2$  and  $E_{0y} \neq 1$ , we have

$$\overrightarrow{E} = \overrightarrow{x}\cos(\omega t) \pm \overrightarrow{y}E_{0y}\sin(\omega t) \Rightarrow E_x^2 + \frac{E_y^2}{E_{0y}^2} = 1$$
(1.66)

As shown in figure 1.11(e)-(f), The wave is **right-hand elliptically** polarized for  $\delta = \pi/2$ and **left-hand elliptically polarized** for  $\delta = -\pi/2$ , respectively.

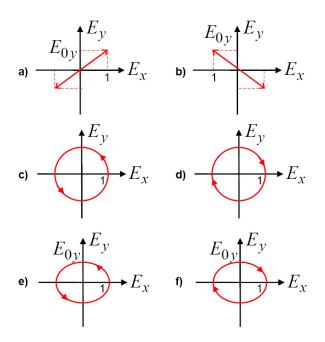


FIGURE 1.11 – Different states of polarization.

#### 1.5.2 More general cases

#### 1.5.2.1 Elliptical polarization with a rotation

In general, a polarized wave has en elliptical polarization. The electric field is then

$$\overrightarrow{E} = \overrightarrow{x} E_{0x} \cos(\omega t - \delta_0) \pm \overrightarrow{y} E_{0y} \sin(\omega t - \delta_0) \Rightarrow \frac{E_x^2}{E_{0x}^2} + \frac{E_y^2}{E_{0y}^2} = 1$$
(1.67)

As shown at the top of figure 1.12, we see that  $E_{0x}$  is the major axis of the ellipse and  $E_{0y}$  the minor axis. With the plus sign, the wave is right-hand elliptically polarized, whereas with the minus sign, the wave is left-hand elliptically polarized. The shape of the ellipse can be specified by an ellipticity angle  $\chi$  defined as

$$\tan\chi = \pm \frac{E_{0y}}{E_{0x}} = \pm \frac{b}{a} \tag{1.68}$$

In addition, as shown at the bottom of figure 1.12, the ellipse can be undergone a rotation of an angle  $\alpha$ . In this case, the ellipticity angle is

$$\tan\chi = \pm \frac{b'}{a'} \tag{1.69}$$

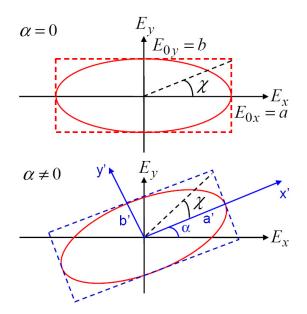


FIGURE 1.12 – General case of an elliptical polarization.

This case corresponds, with  $\tau = \omega t$ , to

$$\begin{cases} E_x = E_{0x} \cos(\tau + \delta_x) \\ E_y = E_{0y} \cos(\tau + \delta_y) \end{cases}$$
(1.70)

We then show (see exercise 1.6.2.1) that

$$\frac{E_x^2}{E_{0x}^2} + \frac{E_y^2}{E_{0y}^2} - 2\frac{E_x}{E_{0x}}\frac{E_y}{E_{0y}}\cos\delta = \sin^2\delta$$
(1.71)

with  $\delta = \delta_y - \delta_x$ . Comparing Eq. (1.71) with Eq. (1.67), an additional term is added related to the angle of rotation  $\alpha$ , as shown in the next subsection.

#### 1.5.2.2 Relations between the angles

We can show (see exercise 1.6.2.2) that the angle of rotation  $\alpha$  and of ellipticity  $\chi$  (Eq. (1.69)) are related to  $a = E_{0x}$ ,  $b = E_{0y}$  and  $\delta = \delta_y - \delta_x$  by

$$\begin{cases}
\tan(2\alpha) = \frac{2ab\cos\delta}{a^2 - b^2} \\
\sin(2\chi) = \frac{2ab\sin\delta}{a^2 + b^2}
\end{cases} \text{ and } \begin{cases}
\alpha \in [0; \pi[ \\ \chi \in [-\pi/4; \pi/4] \end{cases}$$
(1.72)

Eq. (1.72) shows that the polarization of a wave can be defined either from  $a = E_{0x}$ ,  $b = E_{0y}$ and  $\delta = \delta_y - \delta_x$  or from the angles  $\alpha$  and  $\chi$ .

For example, for a linearly polarized wave,  $a = E_{0x} = \pm E_{0y}$  and  $\delta = 0$ . Thus  $\chi = 0$  and  $\tan(2\alpha) \rightarrow 2(b/a)/[1 - (b/a)^2] = 2\tan\alpha/(1 - \tan^2\alpha)$ . Thus  $\tan\alpha = b/a = \pm 1$ . Then  $\alpha = \pm \pi/4$ .

For example, for a circularly polarized wave,  $a = E_{0x} = E_{0y}$  and  $\delta = \pm \pi/2$ . Then  $\tan(2\alpha) = 0$ , implying that  $\alpha = 0$  or  $\alpha = \pi/2$ . In addition,  $\sin(2\chi) = \pm 1$ , implying that  $\chi = \pm \pi/4$ . By convention, the **right**-hand circularly polarization is obtained for  $\alpha$  **positive**.

#### 1.6 Exercises

#### **1.6.1** Exercises on the Fresnel coefficients

#### 1.6.1.1 Exercise 1

We consider an interface of infinite area lied in the plane  $(\vec{x}, \vec{y})$  separating two LHI media. The upper medium, defined for  $z \ge 0$ , is vacuum and the lower medium, defined for z < 0, is a perfect dielectric medium of complex refraction index  $n = n_r + jn_i$   $((n_r, n_i) \in \mathbb{R}^+)$ . The interface is illuminated by a TEM plane wave  $\vec{E}_i$  polarized along the direction  $\vec{x}$  and propagating along the  $\vec{k}_i = k_i(\vec{y}\sin\theta_i - \vec{z}\cos\theta_i)$  direction. Thus,  $\vec{E}_i = \vec{E}_{0i}e^{-j(\omega_i t - \vec{k}_i \cdot \vec{R})}$ .

We consider that  $\theta_i = 0$ .

- 1. Do a figure of the problem.
- 2. Give the polarization of the incident wave?
- 3. Express the incident wave number  $k_i$  from the wavelength  $\lambda_0$  in the vacuum.
- 4. Simplified then the expression of  $\vec{E}_i$ .

The transmitted electric field is  $\overrightarrow{E}_t = \overrightarrow{E}_{0t} e^{-j(\omega_t t - \overrightarrow{k}_t \cdot \overrightarrow{R})}$ .

- 1. Give the polarization of the transmitted electric field.
- 2. Give the relation between  $\omega_t$  and  $\omega_i$ .

- 3. Express  $\overrightarrow{k}_t$  from  $\{k_0, n, \overrightarrow{x}, \overrightarrow{y}, \overrightarrow{z}\}$ .
- 4. Express  $\left\| \overrightarrow{E}_{0t} \right\| = E_{0t}$  from  $\left\| \overrightarrow{E}_{0i} \right\| = E_{0i}$  and the transmission coefficient  $\mathcal{T}$  and next n.
- 5. Express then  $\overrightarrow{E}_t(z)$  from  $\{k_0, n, \left\|\overrightarrow{E}_{0i}\right\| = E_{0i}, \omega_i, \overrightarrow{x}, \overrightarrow{y}, \overrightarrow{z}\}.$
- 6. Calculate  $\rho(z) = \left\| \overrightarrow{E}_t(z) \right\| / \left\| \overrightarrow{E}_t(0) \right\|$  and  $|\rho(z)|$ .
- 7. Calculate the depth z = h, for which  $|\rho(z)| = 0.01$ .

#### 1.6.1.2 Exercise 2

The power carried by an electric field propagating in a medium with **lossy** (means that the refractive index  $n \in \mathbb{C}$ ), is defined from the Poynting vector  $\overrightarrow{P}$  as

$$\overrightarrow{P} = \frac{1}{2}\overrightarrow{E} \wedge \overrightarrow{H}^* \tag{E1}$$

where  $\overrightarrow{H}$  is the magnetic field and the symbol \* is the conjugate. The refractive index  $n = n_r + jn_i$ with  $(n_i, n_r) \in \mathbb{R}^+$ . We assume that the electric field is expressed as  $\overrightarrow{E} = E_0 \overrightarrow{x} e^{jn \overrightarrow{k}_0 \cdot \overrightarrow{R}}$ , where  $\overrightarrow{k}_0$  is the wave vector in the vacuum.

- 1. From a Maxwell equation, calculate  $\overline{H}$ .
- 2. Show then that  $\overrightarrow{P} = \frac{n^*}{2Z_0} \left\| \overrightarrow{E} \right\|^2 \overrightarrow{u}$  with  $\overrightarrow{u} = \overrightarrow{k}_0 / \left\| \overrightarrow{k}_0 \right\|$ .  $Z_0$  is the wave impedance in the vaccum. For any vectors  $(\overrightarrow{V}_1, \overrightarrow{V}_2, \overrightarrow{V}_3)$  we have

$$\overrightarrow{V}_1 \wedge (\overrightarrow{V}_2 \wedge \overrightarrow{V}_3) = (\overrightarrow{V}_1 \cdot \overrightarrow{V}_3) \overrightarrow{V}_2 - (\overrightarrow{V}_1 \cdot \overrightarrow{V}_2) \overrightarrow{V}_3.$$
(E2)

- 3. We set  $\overrightarrow{k}_0 = k_0 \overrightarrow{z}$  and  $\overrightarrow{R} = \overrightarrow{z} z$ . Express then  $\overrightarrow{P}(z)$  versus z.
- 4. Calculate and plot  $\rho(z) = \left\| \overrightarrow{P}(z) \right\| / \left\| \overrightarrow{P}(0) \right\|$  versus z by introducing the skin depth  $\delta$ .
- 5. In  $\rho(z)$ , what is the physical signification of the exponential term?

#### 1.6.1.3 Exercise 3

We consider an incident TEM plane wave which illuminates two infinite interfaces  $\Sigma_A$  and  $\Sigma_B$  separating LHI media  $\{\Omega_1, \Omega_2, \Omega_3\}$  of refractive indexes  $n_1$  (assumed to be the air),  $n_2$  and  $n_3$ . The polarisation of the incident plane wave is TE with an incidence angle  $\theta_i = 0$  ( $\vec{E} = \psi^i e^{jk_1 z} \vec{x}$ ). The Fresnel coefficients in reflection and transmission from the medium  $i = \{1, 2, 3\}$  to the medium  $j \neq i = \{1, 2, 3\}$  are denoted as  $r_{ij}$  and  $t_{ij}$ , respectively (figure 1.13).

1. From a figure, explain qualitatively that the magnitude of the reflected field  $\psi^r$  can be written as follows :

$$\psi^r = \sum_{p=0}^{p=\infty} \psi_p^r.$$
 (E3)

- 2. Give the expressions of  $r_{12}$ ,  $r_{21}$ ,  $t_{12}$  and  $t_{21}$  and show that  $t_{12}t_{21} = 1 r_{12}^2$ .
- 3. Give the expression of the field  $\psi_0^r$  reflected by *only* the upper interface  $\Sigma_A$ .

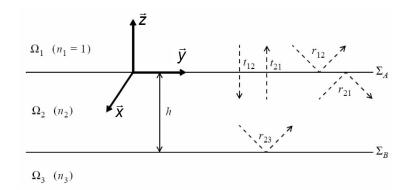


FIGURE 1.13 – Description of the geometry.

- 4. Give the expression of the field  $\psi_1^r$  for p = 1. It results from the transmission through the upper interface  $\Sigma_A$ , the reflection from the lower interface  $\Sigma_B$ , and then the transmission through  $\Sigma_A$  back into the incident medium  $\Omega_1$ .
- 5. Show that the reflected field at the order p = 2 is

$$\psi_2^r = \left(r_{21}r_{23}e^{j\phi}\right)\psi_1^r,\tag{E4}$$

where  $\phi = 2k_0n_2h$ , in which  $k_0$  is the wavenumber in the air (vaccum) and h the thickness of the intermediate medium  $\Omega_2$ .

6. Show that the reflected field at the order  $p \ge 1$ , is then

$$\psi_p^r = \left(r_{21}r_{23}e^{j\phi}\right)^{p-1}\psi_1^r.$$
 (E5)

7. From equation (E3), and the relations  $t_{12}t_{21} = 1 - r_{12}^2$  and  $r_{21} = -r_{12}$ , show that the total reflected field is expressed as

$$\psi^r = \psi^i \frac{r_{12} + r_{23} e^{j\phi}}{1 + r_{12} r_{23} e^{j\phi}}.$$
(E6)

We recall for |x| < 1 that  $\sum_{p=1}^{p=\infty} x^{p-1} = \frac{1}{1-x}$ .

- 8. We assume now that the medium  $\Omega_3$  is **perfectly conducting**. Give the value of  $r_{23}$  and simplify equation (E6).
- 9. Moreover, we assume that the modulus of the refractive index  $n_2$  is of the order of  $n_1$   $(|n_2| \approx |n_1|)$ . Give the consequence on  $|r_{12}|$  and show that

$$\psi^r \approx \left[ r_{12} \left( 1 - e^{j2\phi} \right) + e^{j\phi} \right] \psi^i.$$
 (E7)

We recall for  $x \to 0$  that  $1/(1+x) = 1 - x + x^2 + \mathcal{O}(x^2)$ .

#### **1.6.2** Exercices on the polarization

#### 1.6.2.1 Excercise 1

From Eq. (1.70), show Eq. (1.71).

#### 1.6.2.2 Excercise 2

In this exercise, we want to retreive Eqs. (1.72).

In the basis  $(x = E_x, y = E_y)$  (top of figure 1.12), the equation of the ellipse is given from Eq. (1.67). When the ellipse undergone a rotation of  $\alpha$  (bottom of figure 1.12), its equation is expressed from Eq. (1.71). In addition, in the basis (x', y'), the equation of the same ellipse is

$$\frac{x'^2}{a'^2} + \frac{y'^2}{b'^2} = 1 \tag{E8}$$

The couple (x, y) is expressed from (x', y') by a rotation of an angle  $-\alpha$ . Then

$$\begin{bmatrix} x \\ y \end{bmatrix} = \begin{bmatrix} \cos \alpha & -\sin \alpha \\ \sin \alpha & \cos \alpha \end{bmatrix} \begin{bmatrix} x' \\ y' \end{bmatrix} = \begin{bmatrix} x' \cos \alpha - y' \sin \alpha \\ x' \sin \alpha + y' \cos \alpha \end{bmatrix}$$
(E9)

1. Reporting Eq. (E9) into Eq. (1.71) and equaling Eq. (1.71) with Eq. (E8), show that the term with respect to x'y' vanishes if

$$\tan(2\alpha) = \frac{2ab\cos\delta}{a^2 - b^2} \tag{E10}$$

Note that  $\sin(2\alpha) = 2\cos\alpha\sin\alpha$  and  $\cos(2\alpha) = \cos^2\alpha - \sin^2\alpha$ .

2. Reporting Eq. (E9) into Eq. (1.71) and equaling Eq. (1.71) with Eq. (E8), show that (terms with respect to  $x'^2$  and  $y'^2$ )

$$a'b' = ab\sin\delta \tag{E11}$$

3. From Eq. (E9) show that

$$a^2 + b^2 = a'^2 + b'^2 \tag{E12}$$

4. Writting that  $\sin(2\chi) = 2 \tan \chi/(1 + \tan^2 \chi)$  with  $\tan \chi = b'/a'$ , show that

$$\sin(2\chi) = \frac{2ab\sin\delta}{a^2 + b^2} \tag{E13}$$

#### 1.6.2.3 Excercice 3

Fill the following table and locate the state polarization on the Poincaré Sphere.

$E_{0x}$	$E_{0y}$	δ	$\overrightarrow{S}$	Name of the polarization	$\alpha$	$ \chi $
1	0	0				
0	1	0				
1	1	0				
1	-1	0				
1	1	$\pi/2$				
1	1	$-\pi/2$				

TABLE 1.2 - Fill the table.

# 2 Basic concepts on the propagation

#### 2.1 Radiation from a point source

#### 2.1.1 Spherical wave

In chapter 1, we introduce the concept of a TEM plane wave. In practice, a plane wave does not exist but a wave can be considered as **locally** plane if the sensor measures the wave far from the emitter (or the object for a problem of diffraction).

Indeed, solving the Maxwell equation, we can show for a two-dimensional problem (2D problem, i.e. invariant along an arbitrary direction, for example  $\vec{x}$ ) that the wave is **cylindrical**, which means that the electric field behaves as  $1/\sqrt{R}$ , where R is the distance between the sensor and the emitter. For a 3D problem (problems meet in the nature), the electric field behaves as 1/R and the wave is then **spherical**.

As shown in figure 2.1, if R is great, locally, the amplitude of the electric field measures by the receiver can be considered as a constant since  $R_1 \approx R_2$ .

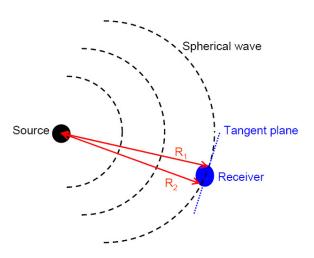


FIGURE 2.1 – Illustration of a spherical wave.

#### 2.1.2 Radiated power

If  $P_0$  (in W) is the power radiated by an isotropic source, for a spherical wave, the power density  $p_0$  (in W/m<sup>2</sup>) at the distance R is

$$p_0 = \frac{P_0}{4\pi R^2},$$
 (2.1)

where  $4\pi R^2$  is the area of a sphere of radius R.

For a TEM plane wave, the power density carried by the wave is related from the Poynting vector  $\overrightarrow{P}$  defined as

$$\overrightarrow{P} = \frac{1}{2}\overrightarrow{E} \wedge \overrightarrow{H}^* \tag{2.2}$$

For a plane wave propagated in free space, we have  $\overrightarrow{E} = \overrightarrow{E}_0 e^{-j\omega t + j \overrightarrow{k}_0 \cdot \overrightarrow{R}}$ . Thus, from a Maxwell equation, we have

$$\overrightarrow{\operatorname{rot}} \overrightarrow{E} = -\frac{\partial \overrightarrow{B}}{\partial t} = j\omega\mu_{0}\overrightarrow{H}$$

$$\Rightarrow \overrightarrow{H} = \frac{1}{j\omega\mu_{0}}\overrightarrow{\nabla}\wedge\overrightarrow{E} = \frac{1}{\omega\mu_{0}}\overrightarrow{k_{0}}\wedge\overrightarrow{E}$$

$$\Rightarrow \overrightarrow{P} = \frac{1}{2\omega\mu_{0}}\overrightarrow{E}\wedge\left(\overrightarrow{k}_{0}\wedge\overrightarrow{E}\right)^{*} = \frac{1}{2\omega\mu_{0}}\overrightarrow{E}\wedge\left(\overrightarrow{k}_{0}\wedge\overrightarrow{E}^{*}\right)$$

$$\Rightarrow \overrightarrow{P} = \frac{1}{2\omega\mu_{0}}\left[\left(\overrightarrow{E}\cdot\overrightarrow{E}^{*}\right)\overrightarrow{k}_{0} - \left(\underbrace{\overrightarrow{E}\cdot\overrightarrow{k}_{0}}_{=0}\right)\overrightarrow{E}^{*}\right] = \frac{|E|^{2}}{2\omega\mu_{0}}\overrightarrow{k}_{0}$$

$$\Rightarrow \overrightarrow{P} = \frac{|E_{0}|^{2}}{2Z_{0}}\overrightarrow{u}$$
(2.3)

where  $k_0 = \omega/c$ ,  $\vec{u} = \vec{k}_0 / \|\vec{k}_0\|$  and  $Z_0 = \sqrt{\mu_0/\epsilon_0} = 120\pi$ . We note that the wave power is propagated along the direction  $\vec{k}_0$  (direction of light rays).

Then

$$p_0 = \left\| \overrightarrow{P} \right\| = \frac{|E_0|^2}{2Z_0} \tag{2.4}$$

Then, the electric field  $\overrightarrow{E}$  is related to the power  $P_0$  from

$$\overrightarrow{E} = \frac{\sqrt{60P_0}}{R} e^{-j\omega t + j\overrightarrow{k}_0 \cdot \overrightarrow{R}} \overrightarrow{u}$$
(2.5)

The electric field  $\overrightarrow{E}$  behaves as 1/R which corresponds to a spherical wave.

#### 2.1.3 Electric field calculation in presence of a ground

As shown in figure 2.2, we want to calculate the received field in presence of a ground. From Eq. (2.5), the norm of the incident field is given by

$$E_1 = \frac{\sqrt{60P_1}}{R} e^{jk_0R}$$
(2.6)

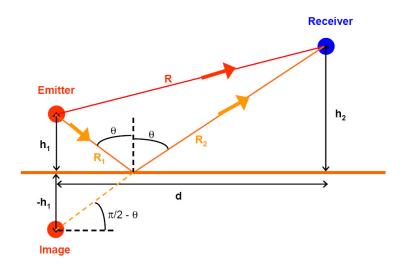


FIGURE 2.2 – Electric field calculation in presence of a ground.

where the dependence over the time t is omitted.

From the image theory, the norm of the reflected field  $E_2$  by the ground can be replaced by a source located at the height  $-h_1$  and of amplitute  $\mathcal{R}(\theta)$ . Thus

$$E_2 = \frac{\sqrt{60P_1}}{R_1 + R_2} e^{jk_0(R_1 + R_2)} \mathcal{R}(\theta)$$
(2.7)

Then, the total field is

$$E = E_{1} + E_{2} = \sqrt{60P_{1}} \left[ \frac{e^{jk_{0}R}}{R} + \mathcal{R} \frac{e^{jk_{0}(R_{1}+R_{2})}}{R_{1}+R_{2}} \right]$$
$$= \frac{\sqrt{60P_{1}}}{R} e^{jk_{0}R} \left[ 1 + \mathcal{R} \frac{Re^{jk_{0}(R_{1}+R_{2}-R)}}{R_{1}+R_{2}} \right]$$
$$= E_{1} \left[ 1 + \mathcal{R} \frac{Re^{jk_{0}(R_{1}+R_{2}-R)}}{R_{1}+R_{2}} \right]$$
(2.8)

From the Pythagore theorem, we have

$$\begin{cases} R_1 + R_2 = \sqrt{d^2 + (h_1 + h_2)^2} = d\sqrt{1 + \left(\frac{h_1 + h_2}{d}\right)^2} \approx d\left[1 + \frac{1}{2}\left(\frac{h_1 + h_2}{d}\right)^2\right] \\ R = \sqrt{d^2 + (h_1 - h_2)^2} = d\sqrt{1 + \left(\frac{h_1 - h_2}{d}\right)^2} \approx d\left[1 + \frac{1}{2}\left(\frac{h_1 - h_2}{d}\right)^2\right] \end{cases}$$
(2.9)

where d > 0 is the horizontal distance between the emitter and the receiver, which is assumed to be much greater than the heights  $h_1$  and  $h_2$  of the emitter and receiver, respectively.

Thus

$$R_1 + R_2 - R \approx \frac{2h_1h_2}{d} \qquad \frac{R}{R_1 + R_2} \approx 1$$
 (2.10)

The total field can then be approximated by

$$E = E_1 \left[ 1 + \mathcal{R}(\theta) e^{j\phi} \right]$$
(2.11)

where

$$\phi = \frac{2k_0h_1h_2}{d} = \frac{4\pi h_1h_2}{\lambda_0 d} \qquad \tan \theta = \frac{d}{h_1 + h_2}$$
(2.12)

The modulus ratio of the electric field is then

$$p = \left|\frac{E}{E_1}\right| = \sqrt{1 + 2a\cos\phi' + a^2}$$
(2.13)

where

$$a = |\mathcal{R}| \qquad \phi' = \phi + \text{phase}(\mathcal{R}) = \phi + \phi_{\mathcal{R}}$$
 (2.14)

The minimum value of p occurs  $(\cos \phi' = -1)$  for  $\phi' = \pi + 2n\pi$  (with n an integer). The maximum value of p occurs  $(\cos \phi' = +1)$  for  $\phi' = 2n\pi$ . This leads to

$$p_{\max} = |1+a|$$
  $p_{\min} = |1-a|$  (2.15)

For example, for a perfectly-conducting surface,  $\mathcal{R} = \pm 1$ , implying that a = 1 and then  $p_{\min} = 0$ . This means that the total field vanished. In pratice, this phenomenon is constraining because the communication is broken. In opposite,  $p_{\max} = 2$  and then the total field is equal twice the emitter field. It is an illustration of the **inteference** phenomenon : "1+1" can give "0"!

For  $h_1 = \text{cste}$ , d = cste and  $h_2$  varies, the periodicity  $\Delta h_2$  of  $h_2$  satisfied

$$\frac{4\pi h_1 h_2}{\lambda_0 d} + \phi_{\mathcal{R}} = 2\pi \Rightarrow \Delta h_2 = \frac{\lambda_0 d}{2h_1}$$
(2.16)

For  $h_1 = \text{cste}$ ,  $h_2 = \text{cste}$  and d varies, the periodicity  $\Delta d$  of d satisfied

$$\frac{4\pi h_1 h_2}{\lambda_0 d} + \phi_{\mathcal{R}} = 2\pi \Rightarrow \Delta d = \frac{\lambda_0 d^2}{2h_1 h_2} \tag{2.17}$$

For the simulations, we assume that  $\mathcal{R} = +1$ , corresponding to a perfectly-conducting surface and the TE polarization. Thus, a = 1 and  $\phi_{\mathcal{R}} = 0$ . In addition, the frequency is f = 300 MHz.

Figure 2.3 plots p (Eq. (2.13)) versus the receiver height  $h_2$  for  $h_1 = 50$  m and d = 10 km. For this case, from Eq. (2.16),  $\Delta h_2 = 100$  m. As we can see, p is a periodic function of  $h_2$  of period  $\Delta h_2$  and takes values from 0 to 2, as predicted from Eq. (2.15).

Figure 2.4 plots p (Eq. (2.13)) versus the horizontal distance d for  $h_1 = 100$  m and  $h_2 = 200$ . For this case, from Eq. (2.17),  $\Delta d$  is not a constant and varies with d. As we can see, p is not a periodic function of d and takes values from 0 to 2, as predicted from Eq. (2.15).

#### 2.2 Real source caracterized by a gain

For a real source as an antenna, the emitted field is not isotropic but depends on the angles  $(\theta, \phi)$  defined in spherical coordinates. The function describing this phenomenon is the gain

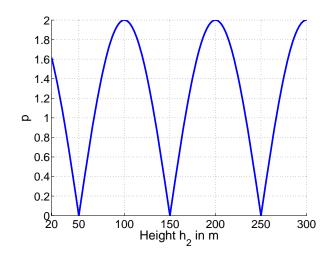


FIGURE 2.3 – p (Eq. (2.13)) versus the receiver height  $h_2$  for  $h_1 = 50$  m, d = 10 km and f = 300 MHz.

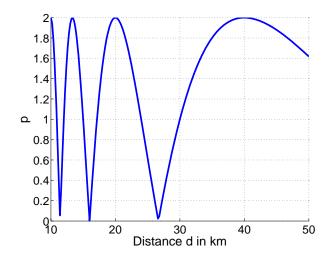


FIGURE 2.4 – p (Eq. (2.13)) versus the horizontal distance d for  $h_1 = 100$  m,  $h_2 = 200$  m and f = 300 MHz.

function  $G(\theta, \phi) = \eta D(\theta, \phi)$ , in which D is known as the directive gain and the number  $0 < \eta < 1$  is related to the antenna efficiency. The directive gain signifies the ratio of radiated power in a given direction relative to that of an isotropic radiator which is radiating the same total power as the antenna in question but uniformly in all directions. Note that a true isotropic radiator does not exist in practice.

Now, we consider the problem shown in figure 2.5.

The power density  $p_E$  (in W/m<sup>2</sup>) emitted by the antenna is

$$p_E = \frac{P_E G_E}{4\pi R^2} \tag{2.18}$$

where  $P_E$  is the emitted power (in W) and  $G_E$  the emitted antenna gain.

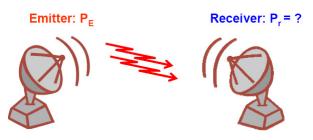


FIGURE 2.5 – Received power from an emitter.

The power (in W) received by the antenna is then

$$P_R = p_E A_R = \frac{P_E G_E A_R}{4\pi R^2} \tag{2.19}$$

where  $A_R$  is the effective aperture in m<sup>2</sup> of the received antenna. It is well known that  $A_R$  is related to the gain  $G_R$  of the received antenna by

$$A_R = \frac{G_R \lambda_0^2}{4\pi} \tag{2.20}$$

Then

$$P_{R} = \frac{P_{E}G_{E}}{4\pi R^{2}} \frac{G_{R}\lambda_{0}^{2}}{4\pi} = P_{E}G_{E}G_{R} \left(\frac{\lambda_{0}}{4\pi R}\right)^{2} = \frac{P_{E}G_{E}G_{R}}{L_{0}}$$
(2.21)

where  $L_0 > 1$  is called the **path loss** in free space. It is defined as

$$L_0 = \left(\frac{4\pi R}{\lambda_0}\right)^2 \tag{2.22}$$

In dB scale,  $10 \log_{10}(L_0)$ ,  $L_0$  becomes

$$L_0 (dB) = 10 \log_{10} \left[ \left( \frac{4\pi R}{\lambda_0} \right)^2 \right] = 20 \log_{10} \left( \frac{4\pi}{c} \right) + 20 \log_{10} R + 20 \log_{10} f$$
$$= 32.45 + 20 \log_{10} R_{\rm km} + 20 \log_{10} f_{\rm MHz}$$
(2.23)

Thus, in dB scale, the path loss increases with the distance R and the frequency f.

#### 2.3 Radar equation and Radar Cross section

In this subsection, the Radar cross section is introduced via the Radar equation.

We consider the problem shown in figure 2.6. An antenna illuminates an object. A part of the power reflected by the object returned toward the receiver. The purpose is to calculate the received power.

The emitted power density is

$$p_E = \frac{P_E G_E(\theta_E, \phi_E)}{4\pi R^2} \tag{2.24}$$

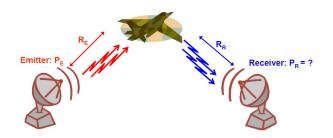


FIGURE 2.6 – Received power from an emitter illuminating an object.

where  $P_E$  is the emitted power (in W) and  $G_E$  the emitted antenna gain defined along the spherical angles  $(\theta_E, \phi_E)$ .

From the distance  $R_E$ , the power (in W) reflected (diffracted) by this object is then

$$P_O = p_E|_{R=R_E} \sigma = \frac{P_E G_E(\theta_E, \phi_E)}{4\pi R_E^2} \sigma$$
(2.25)

where  $\sigma$  is the **Radar cross section** (in m<sup>2</sup>) of the object. This magnitude caracterized the capacity of an object to reflect the power in the the specific directions ( $\theta_R$ ,  $\phi_R$ ) knowing the directions ( $\theta_E$ ,  $\phi_E$ ). In other words, it is a measure of how detectable an object is with a Radar. It is an **intrinsic** property of the object. It depends on

- The angles  $(\theta_E, \phi_E)$  and  $(\theta_R, \phi_R)$ .
- The radar frequency f.
- The polarization of the incident electric field
- The shape of the object.
- The electric properties of the object ( $\epsilon$  and  $\mu$ ).

The density power (in  $w/m^2$ ) from the distance  $R_R$  is then

$$p_O = \frac{P_O}{4\pi R_B^2} \tag{2.26}$$

The received power (in W) is then

$$P_{R} = p_{O}A_{R} = \frac{P_{O}}{4\pi R_{R}^{2}} \frac{G_{R}(\theta_{R}, \phi_{R})\lambda_{0}^{2}}{4\pi}$$
$$= \frac{P_{E}G_{E}(\theta_{E}, \phi_{E})G_{R}(\theta_{R}, \phi_{R})\lambda_{0}^{2}\sigma}{(4\pi)^{3}R_{E}^{2}R_{R}^{2}}$$
(2.27)

where  $G_R(\theta_R, \phi_R)$  is the gain of the reception antenna in the directions  $(\theta_R, \phi_R)$ .

The above equation is named as the **Radar equation** and it is then the basic equation used to calculate the power received by a Radar system.

When the receiver is the same as the emitter, corresponding to a **monostatic** configuration, we have  $R_R = R_E = R$ ,  $(\theta_R, \phi_R) = (\theta_E, \phi_E) = (\theta, \phi)$  and  $G_R = G_E = G$ . The above equation is then simplified as

$$P_R = \frac{P_E G^2(\theta, \phi) \lambda_0^2 \sigma}{(4\pi)^3 R^4} \tag{2.28}$$

#### 2.4 Exercises

#### 2.4.1 Exercise 1 : Reflexion by a ground

A radio link  $(\lambda_0 = 2 \text{ m})$  is established between a boat, for which its antenna is located at a height of  $h_1 = 10 \text{ m}$ , and two receivers. The first one is located on the coast from a distance of d = 10 km and a height of  $h_2 = 10 \text{ m}$ . The second one is located on the montain from a distance of d = 12 km and a height of  $h_2 = 10 \text{ m}$ .

The reflection coefficient of the sea is assumed to be -1.

1. Show that de modulus of the received field is

$$|E| = 2 \left| E_0 \sin\left(\frac{\phi}{2}\right) \right| \qquad \phi = \frac{4\pi h_1 h_2}{\lambda_0 d} \tag{E1}$$

where  $E_0$  is the incidend field.

2. Calculate  $p = |E/E_0|$  for the two cases and give a physical interpretation.

#### 2.4.2 Exercise 2 : Link satellite

The satellite Voyager 2 in 1993 was  $R = 6^9$  km from the Earth. The power of its emitter was 20 W and its antenna gain was 48 dB. The used frequency is 8.4 GHz.

- 1. Calculate the power density  $p_E$  radiated on the Earth.
- 2. Calculate the power  $P_R$  transmitted to the receiver located on the Earth if the gain  $G_R$  of the parabolic antenna is 70 dB.
- 3. Calculate in dB the path loss  $L_0$ .
- 4. Calculate the diameter D of the antenna knowing that  $G_R = (\pi D/\lambda_0)^2 \eta$  with  $\eta = 0.6$ .

#### 2.4.3 Exercise 3 : Radar Cross Section (RCS)

We consider a monostatic configuration (emitter and receiver are the same). The emitter illuminates an object of RCS  $\sigma$ .

1. Then show

$$\sigma = 4\pi R^2 \frac{|E_R|^2}{|E_E|^2}$$
(E2)

where R is the distance from the receiver to the object,  $E_E$  is the emitted field and  $E_R$  the received field.

2. Why the rigorous definition of RCS is

$$\sigma = \lim_{R \to \infty} 4\pi R^2 \frac{|E_R|^2}{|E_E|^2} \tag{E3}$$